

Schedule 64

PROFESSIONAL PRACTICES COMMISSION

April 25, 2018

Nebraska Records Management Division
3242 Salt Creek Circle
Lincoln, NE 68504
(402) 471-2559

**REQUEST FOR APPROVAL
OF RECORDS RETENTION
AND DISPOSITION SCHEDULE**

SCHEDULE

64

AGENCY, BOARD OR COMMISSION

PROFESSIONAL PRACTICES COMMISSION

DIVISION, BUREAU OR OTHER UNIT

**TO: STATE RECORDS ADMINISTRATOR
STATE OF NEBRASKA**

Supersedes Edition of March 25, 2010

PART I – AGENCY STATEMENT:

In accordance with Section 84-1212.01, R.R.S. 1943, approval of the attached records retention and disposition schedule by the State Records Administrator is hereby requested. Retention periods and dispositions have been recommended by this agency after a careful evaluation of all factors listed in Section 84-1212.01, R.R.S. 1943.

SIGNATURE

Kelly Muthersbaugh

TITLE

Clerk/Administrator

DATE

4-20-18

PART II – APPROVAL OF STATE ARCHIVES:

The attached schedule has been analyzed, all archival and historical material has been properly identified, no disposition except by transfer to the State Archives has been recommended for such material, and this schedule is approved as submitted.

SIGNATURE

Dayla Koetting

DATE

4/24/2018

PART III – APPROVAL OF AUDITOR OF PUBLIC ACCOUNTS:

The attached schedule has been reviewed, all audit material has been properly identified, and this schedule is approved as submitted.

SIGNATURE

Dawn Huffer

DATE

4/25/18

PART IV – APPROVAL OF STATE RECORDS ADMINISTRATOR:

The attached schedule has been reviewed in accordance with Section 84-1212.01, R.R.S. 1943, and is approved as submitted.

SIGNATURE

Jim A. Gace

DATE

4/25/18

INSTRUCTIONS FOR USING THIS SCHEDULE

Records retention and disposition schedules are designed to serve as your records management guideline for storing and disposing of agency records, **regardless of the media on which they reside**, including paper, microfilm, diskettes, optical disks, CDs, DVDs, servers, computer hard drives, etc. This schedule was written specifically for records unique to your office and the State Agencies General Records Schedule #124 contains those records common to most state government agencies. This retention schedule, which is approved by the State Records Administrator, provides your only ongoing authority to dispose of records. Listed below are some basic procedures to follow when applying your schedule.

DISPOSING OF RECORDS

1. Check your schedules to see what the retention period is. Note: Your agency's unique schedule will take precedence over State Agencies General Records Schedule #124 for any items which have differences in retention requirements.
2. Dispose of records that have met their retention periods. Unless there is pending or on going, legal action, records request, or audit.
3. For records requiring a review of, or transfer to the **NE State Historical Society (State Archives)**, your agency is required to contact the State Archives to negotiate the transfer. Additionally, **once the records are accessioned into their collection, they become the property of the State Archives** (Neb. Rev. Stat. §82-105). The State Archives may remove selected records in accordance with standard archival practices to ensure efficient access, organization and enduring historical value (Neb. Rev. Stat. §82-107). Please contact their office by calling (402) 471-4783.
4. Complete a Records Disposition Report for the records you dispose. The Records Disposition Report form is located on the Secretary of State Records Management website. https://appengine.egov.com/apps/ne/sos_records_disposition_report. This report establishes that the destruction was performed in your normal course of business.

NON-SCHEDULED RECORDS

Contact a Records Management Specialist in Records Management to see whether the records will fit under an item already on the schedule. If they do not, they must be retained until they are added to the next revision of your schedule.

SCHEDULE UPDATE

It is the responsibility of each agency to periodically update their schedule. A Records Management Specialist in Records Management can assist you with the schedule update, which may involve adding new records series and making revisions to existing items. Keeping your schedule current will ensure you have the ongoing authority to discard records when their useful life has ended.

QUESTIONS

If you have any questions about these procedures, please contact your agency Records Officer or the Records Management Specialist in Records Management. They will help you with any questions the schedule may present, including: transferring records to the State Records Center or microfilming records, scanning records, etc.

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3242 Salt Creek Circle
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402-471-2559**

**SCHEDULE 64
PROFESSIONAL PRACTICES COMMISSION**

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ITEM NUMBER	RECORD TITLE	DESCRIPTION/EXAMPLES	RETENTION	REFERENCE/COMMENTS
64-1	HISTORY, DISCIPLINARY ACTION (1990-PRESENT)	Files may include, but are not limited to a list of action taken against an educator's certificate (i.e. recommended suspension or revocation of certificate) or a public reprimand or private admonishment.	ORIGINAL RECORD: Superseded	
64-2	HISTORY, NEBRASKA PROFESSIONAL PRACTICES COMMISSION MEMBERS	Written record of commissioners who have been appointed by the Governor and the terms of their appointments.	Dispose of after 10 years	
64-4	PETITION CASE FILES (1990 - PRESENT)	Case files regarding alleged violations of professional ethics and practices which may include, but are not limited to: allegations, legal motions, copies of notices of hearings sent to concerned parties, copies of subpoena(s), hearing transcripts, rulings on legal motions, copies of recommendations for revocation or suspension of certificates sent to State Board of Education, copies of orders of public reprimands or private admonishments, or dismissal orders.	ORIGINAL RECORD: 50 years COPIES: Dispose of when no longer of administrative or reference value as determined by the Clerk of the Commission	The Commission maintains the original records when the case is dismissed due to lack of evidence, public reprimands; private admonishments or if a denial occurs in a reinstatement hearing, otherwise the record is a copy of the original file maintained and kept by the Department of Education and used for reference purposes by the Commission.
64-5	DISASTER RECOVERY	The Nebraska Department of Education (NDE) provides disaster recovery services for the Nebraska Professional Practices Commission (NPPC). All NPPC electronic records follow the same disposition as the paper records. NDE has created a secure folder on their network for the Clerk of the Commission records. Tapes are sent off site daily and will be maintained, then overwritten or destroyed following these rotations:	M-TH (INCREMENTAL) BACK-UPS: Dispose of after 30 days (FULL) BACK-UPS: Dispose of after 30 days	